

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/777,560	02/06/2001	Curtis R. Brandt	032026-0460

CONFIRMATION NO. 8196

FORMALITIES LETTER



OC000000006062434

 Mark A. Kassel
 FOLEY & LARDNER
 150 East Gilman Street
 P.O. Box 1497
 Madison, WI 53701-1497

Date Mailed: 05/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

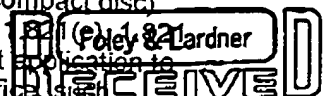
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, a request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

DOCKETED

BY CEO DATE 5/21/01

TICKLER


BY SW DATE 5/21/01

MAY 21 2001

For questions regarding compliance to these requirements, please contact:

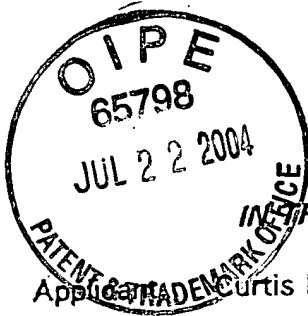
- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Atty. Dkt. No. 032026-0460

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Curtis R. BRANDT et al.

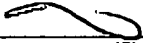
Title: Pharmacologically Active Antiviral
Peptides and Methods of Their
Use

Appl. No.: 09/777,560

Filing Date: 02/06/2001

Examiner:

Art Unit:

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below. Mark A. Kassel (Printed Name)  (Signature) August 24, 2001 (Date of Deposit)
--

TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on 5/10/2001,
in the above-identified application, transmitted herewith are the missing parts needed to
complete the filing of the subject patent application.

Enclosed are:

- ☒ Declaration and Power of Attorney (4 pages)
- ☒ Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- ☒ Check in the amount of \$420.00 in payment of surcharge fee
(37 C.F.R. § 1.16(e))
- ☒ Other: Petition for Extension of Time ☒ Check in the amount of
\$195.00
- ☐ Please charge Deposit Account No. 06-1447 in the amount of _____ in
payment of surcharge fee (37 C.F.R. § 1.16(e))

Atty. Dkt. No. 032026-0460

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Date August 24, 2001

FOLEY & LARDNER
150 East Gilman Street
P. O. Box 1497
Madison, Wisconsin 53701-1497
Telephone: (608) 258-4272
Facsimile: (608) 258-4258

By 

Mark A. Kassel
Attorney for Applicant
Registration No. 38,200

THE U.S. PATENT AND TRADEMARK OFFICE
OFFICIAL MAILROOM STAMP AFFIXED HERETO
ACKNOWLEDGES RECEIPT OF THE ITEMS
CHECKED BELOW:

MAILED VIA FIRST CLASS MAIL

Serial No.: 09/777,560

Applicants: Curtis J. BRANDT et al.

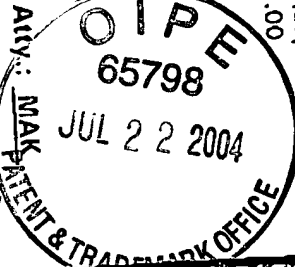
Filed: February 6, 2001

Title: PHARMACOLOGICALLY ACTIVE
ANTIVIRAL PEPTIDES AND METHODS
OF THEIR USE

Date Mailed: August 24, 2001

- (X) Copy of Notice to File Missing Parts
- (X) Transmittal of Missing Parts (duplicate)
- (X) Signed Declaration and Power of Attorney
- (X) Check (No. 591026) for \$420.00
- (X) Petition for Extension of Time (duplicate)
- (X) Check (No. 591027) for \$195.00

SKET NO.: 032026-0460



FILE COPY

THIS DOCUMENT IS VOID IF COLORED BACKGROUND DOES NOT APPEAR ON THE FACE

NO. 591026

FOLEY & LARDNER

777 East Wisconsin Avenue
MILWAUKEE, WISCONSIN 53202

DATE: Aug 14, 2001

PAY: FOUR HUNDRED TWENTY AND 00/100 Dollars

AMOUNT

420.00

FIRST STAR
First Star Bank Milwaukee, WI

COMMISSIONER OF PATENTS

Tom I. Budd

CHECKS OVER \$15,000 REQUIRE TWO SIGNATURES

PAY TO THE ORDER OF

FOR

591026 00750000022 1 2031 389

THIS DOCUMENT IS VOID IF COLORED BACKGROUND DOES NOT APPEAR ON THE FACE

NO. 591027

FOLEY & LARDNER

777 East Wisconsin Avenue
MILWAUKEE, WISCONSIN 53202

DATE: Aug 14, 2001

PAY: ONE HUNDRED NINETY FIVE AND 00/100 Dollars

AMOUNT

195.00

FIRST STAR
First Star Bank Milwaukee, WI

COMMISSIONER OF PATENTS

Tom I. Budd

CHECKS OVER \$15,000 REQUIRE TWO SIGNATURES

PAY TO THE ORDER OF

FOR



THE U.S. PATENT AND TRADEMARK OFFICE
OFFICIAL MAILROOM STAMP AFFIXED HERETO
ACKNOWLEDGES RECEIPT OF THE ITEMS
CHECKED BELOW:

MAILED VIA FIRST CLASS MAIL

Serial No.: 09/777,560

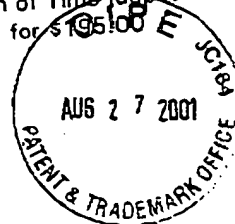
Applicants: Curtis J. BRANDT et al.

Filed: February 6, 2001

Title: PHARMACOLOGICALLY ACTIVE
ANTIVIRAL PEPTIDES AND METHODS
OF THEIR USE

Date Mailed: August 24, 2001

- ☒ [X] Copy of Notice to File Missing Parts
- ☒ [X] Transmittal of Missing Parts (duplicate)
- ☒ [X] Signed Declaration and Power of Attorney
- ☒ [X] Check (No. 591026) for \$420.00
- ☒ [X] Petition for Extension of Time (duplicate)
- ☒ [X] Check (No. 591027) for \$195.00

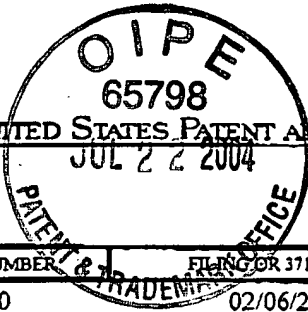


DOCKET NO.: 032026-0460

Atty.: MAK

100

COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/777,560	02/06/2001	Curtis R. Brandt	032026-0460

CONFIRMATION NO. 8196

ABANDONMENT/TERMINATION
LETTER

OC000000012906353

23524
FOLEY & LARDNER
150 EAST GILMAN STREET
P.O. BOX 1497
MADISON, WI 53701-1497

Date Mailed: 06/09/2004

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 05/10/2001.

- No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE